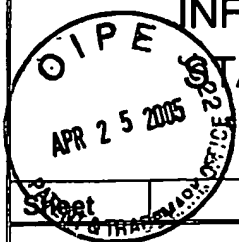


Substitute for Form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT


(use as many sheets as necessary)

**Complete if Known**

Application Number	09/712,302
Filing Date	November 14, 2000
First Named Inventor:	Christopher J. Smith
Art Unit	3624
Examiner Name	Karmis, Stefanos
Attorney Docket Number	3660P021X

Sheet 1 of 4

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
SK		US-	3,637,464	1/25/1972	Walsh et al.	
SK		US-	6,026,383	2/15/2000	Ausubel	
SK		US-	6,035,287	3/7/2000	Stallaert et al.	
SK		US-	6,047,274	4/4/2000	Johnson et al.	
SK		US-	6,052,108	4/18/2000	Gadd	
SK		US-	6,131,087	10/10/2000	Luke et al.	
SK		US-	6,134,536	10/17/2000	Shepard	
SK		US-	6,178,431 B1	1/23/2001	Douglas	
SK		US-	6,216,108 B1	4/10/2001	LeVander	
SK		US-	6,230,146 B1	5/8/2001	Alaia et al.	
SK		US-	6,236,972 B1	5/22/2001	Shkedy	
SK		US-	6,275,807 B1	8/14/2001	Schirripa	
SK		US-	6,343,277 B1	1/29/2002	Gaus et al.	
SK		US-	6,778,968 B1	8/17/2004	Gulati	
SK		US-	US-2001-0044769-A1	11/22/2001	Chaves	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner  
Signature

/Stefanos Karmis/

Date Considered

09/15/2006

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS.  
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

[illegible]

Examiner Signature	/Stefanos Karmis/	Date Considered	09/15/2006
-----------------------	-------------------	-----------------	------------

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

**SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.**

<b>Substitute for Form 1449/PTO</b> <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/712,302
				Filing Date	November 14, 2000
				First Named Inventor:	Christopher J. Smith
				Art Unit	3624
				Examiner Name	Karmis, Stefanos
Sheet	3	of	4	Attorney Docket Number	3660P021X
<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T <sup>2</sup>
SK		Freemarkets Online, "Bidware Manual," June 9, 1988.			
SK		Freemarkets Online, "Online Industrial Market Making, An Overview of Purchasing Executives," <b>Have not been able to locate this prior art as of March 23, 2005</b>			
SK		WebAuction.com, "How to Play," (1998)			
SK		Auction Sales, "Live Auctions Online," September 1998			
SK		Auction Port, "Online Auction Community - New Auctions Since 9/2/98," July 1998			
SK		OnSale, "How to Play," (1998) <b>Have not been able to locate this prior art as of March 23, 2005</b>			
SK		u-Auction-It (1997)			
SK		Freemarkets Online, "Homepage," (1998)			
SK		Steinert-Threikeld, "New Watchwords: Let Sellers Beware," June 1, 1998			
SK		Associated Press, "FreeMarkets Takes Auction on Internet," February 23, 1998			
SK		M. Reck, "Types of Electronic Auctions," Hochschule St. Gallen			

Examiner Signature	/Stefanos Karmis/	Date Considered	09/15/2006
--------------------	-------------------	-----------------	------------

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

<b>Substitute for Form 1449/PTO</b> <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/712,302
				Filing Date	November 14, 2000
				First Named Inventor:	Christopher J. Smith
				Art Unit	3624
				Examiner Name	Karmis, Stefanos
Sheet	4	of	4	Attorney Docket Number	3660P021X
<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T <sup>2</sup>
SK		C. Wrigley, "Design Criteria for Electronic Market Servers," Electronic Markets, Vol. 7, No. 4 (1997)			
SK		Wurman et al., "The Michigan Internet AuctionBot: A Configurable Auction Server for Human and Software Agents," Artificial Intelligence Laboratory, University of Michigan (1998)			
SK		PCT Notification of Transmittal of International Preliminary Examination Report for PCT Counterpart Application No. PCT/US00/08522 Containing International Preliminary Examination Report (November 19, 2001)			
SK		"Virginia Power to Seek 1,750 MW More Through Competitive Bidding," Electric Utility Week, pg. 15 (March 14, 1998)			

Examiner Signature	/Stefanos Karmis/	Date Considered	09/15/2006
--------------------	-------------------	-----------------	------------

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.